UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YOR	K 	x
HENNESSEE GROUP LLC,		: :
	Plaintiff,	: Case No. 07 Civ. 7723 (SHS)
- against -	:	:
RICHARD ROGEL,	:	
	Defendant. :	
UHC 400 THE	X	ζ

DECLARATION OF ROBERT C. SENTNER IN SUPPORT OF DEFENDANT'S MOTION TO DISMISS OR STAY THE COMPLAINT IN FAVOR OF ARBITRATION

EXHIBIT C

AMERICAN ARBITRATION ASSOCIATION COMMERCIAL ARBITRATION RULES

DEMAND FOR ARBITRATION

MEDIATION: If you would like the AAA to contact the other parties and attempt to arrange a mediation, please check this box. There is no additional administrative fee for this service.										
Name of Respondent:			Name of Representative: Na			ame of Firm:				
1		1				oel S. Stuttman, P.C.				
Hennessee Group LLC			Representative's Address:							
Address 500 Fifth Avenue, 47 th Floor		303 Old Tarrytown Road								
····	State	Zip Code	~~ * ~~~~~ ~~~	City: State: Zip Code:						
City		10110		/ ·			NY	10603		
New York	NY	Fax No.		ne No.	***************************************		Fax No.			
Phone No.		rax No.		948.8392						
The named claimant, a party to an arbitration agreement contained in a written contract, dated December 15, 1998,										
providing for arbitration under the Commercial Arbitration Rules of the American Arbitration Association, hereby demands										
arbitration thereunder.										
THE NATURE OF	THE DIS	PITE: Claimant seeks a	declar	atory inden	ent that i	t has	s not breac	hed the Investment		
THE NATURE OF THE DISPUTE: Claimant seeks a declaratory judgment that it has not breached the Investment Advisory Agreement and does not owe the sum of \$662,298.00 to respondent, or any other amounts to respondent										
Dollar Amount of (laim CO O	Λ		Other Relie	f Songht:	Ø A	ttomevs Fe	es 🗆 Interest		
Dollar Amount of Claim \$0.00								nplary 🛘 Other		
Amount of filing fe	e enclosed	with this Demand \$3,25	0.00							
		alifications for arbitrator		e appointed t	o hear this	disn	oute:			
Three person pane	ilennae de	ied under Section XIV o	f the a	ttached Inve	stment A	dvise	orv Agreen	nent		
		rado 🗷 Requested by Cla								
Treating locate De	iver, com	auto Es recquested by en		ш 2000ш р.	0,101011 111					
Estimated time needed for hearings overall:				Type of Business: Claimant Individual						
Three Days				Respondent Hedge Fund Advisor						
Is this a dispute between a business and a consumer? Yes No Does this dispute arise out of an employment relationship? Yes No										
If this dispute arises out of an employment relationship what was/is the employee's annual wage range? Note: This question is required by California law. Less Than \$100,000 \Bar{1}\) \$100,000 \Bar{2}\)50,000 \Bar{2}\)50,000										
You are hereby notified that copies of our arbitration agreement and this demand are being filed with the American										
Arbitration Association's Case Management Center, located in Fresno, California, with a request that it commence										
administration of th	e arbitratio	m. Under the rules, you m	ay file	an answering	statement	t witl	hin fifteen o	lays after notice from		
administration of the arbitration. Under the rules, you may file an answering statement within fifteen days after notice from the AAA.										
				ame of Representative:						
			Davie	David S. Chipman						
1	/\									
Name of Claimant: Name			Name	ame of Firm (if Applicable):						
			Brow	rownstein Hyatt Farber Schreck, P.C.						
Address Representative's Address (To Be Used in Connection				nnection						
56 Rosecrown with This Case):										
				10 Seventeenth Street, Suite 2200						
City:	State:	Zip Code:	City:				State:	Zip Code:		
Avon	co	81620-1659	Denv				CO	80202		
Phone No.		Fax No.	Phone			SS:	Fax No.:			
970.949.7970			303.2	23.1171 dehipman@		an@	bhfs.com	303.223.0971		
To begin proceedings, please send two copies of this Demand and the Arbitration Agreement, along with the filing fee as										
provided for in the Rules, to the AAA. Send the original Demand to the Respondent.										